



EDFIS/C/057/2016
17th November 2016

All CIU Operators

All Banks

Investment Firm Licensees Categories 1 & 2

All Auditing Firms

All Law Firms

Dear Sir,

CBB Rulebook: Volume 7 (Collective Investment Undertakings)
October 2016 Update

The October 2016 quarterly update to Volume 7 has now been incorporated in the website version of the Rulebook.¹

This letter highlights changes introduced this quarter.

Changes for October 2016:

The changes for October 2016 are listed in the attached table.

Further Information:

Should you have any queries regarding these updates, you may contact the CBB mutual funds team at (mutualfunds@cbb.gov.bh), the CBB Rulebook team at (rulebook@cbb.gov.bh), or the Acting Director of Financial Institutions Supervision Directorate on 17547968.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: Volume 7 Update Table.

¹ Volume 7 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 7'.

CBB Rulebook (Volume 7) – October 2016 Update

PART A FOLDER		
Module Code	Module Title	Summary of Changes and Printing Instructions
UG	User's Guide	UG-1.3.4 – Added new paragraph to clarify reference to 'he' 'his' 'she' and 'her'.
ES	Executive Summary	ES-A.1.5 – Amendment to registration of PIUs
RP	Relevant Persons	RP-3.6.5 – Paragraph deleted
CRR	CBB Reporting Requirements	CRR-1.1.1 – Changed overseas domiciled CIU to placement agents offering overseas domiciled CIU holdings. CRR-1.3 – Amended section to be applicable to Bahrain domiciled CIUs.

PART B FOLDER		
CBB Authorisation Forms		
Form 2	Application for CIU Board Member of a Bahrain Domiciled CIU	Added clarification note.