



EDFIS/C/018/2014
2nd October 2014

The CEO/General Manager
All Listed Companies
Self-Regulatory Organisations (SRO)
SRO Members
All Securities Issuers
All CBB Licensees
Legal Firms
Auditing Firms
Manama
Kingdom of Bahrain

Dear Sir,

CBB Rulebook, Volume 6 (Capital Markets): October 2014 Quarterly Update

The October 2014 quarterly update to Volume 6 has now been incorporated in the website version of the Rulebook.¹

Changes for October 2014

The changes for October 2014 are listed in the attached table.

Further information

Should you have any queries regarding these updates, you may contact the Director of the Capital Markets Supervision (CMS) Directorate, Mr. Ali Salman Thamer (on 17547909, or via email at: ali@cbb.gov.bh) or the CBB Rulebook team at (rulebook@cbb.gov.bh).

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: October 2014 quarterly update table

¹ Volume 6 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – October 2014 Quarterly Update

Summary of Changes

N.B. All changes to the previous text of the items listed below are highlighted in Volume 6 posted on the CBB website, to aid users. The searchable version of the Rulebook also allows users to list changes and to compare different versions of the Rulebook.

PART A FOLDER		
Module Code	Module Title	Summary of Changes
AML	Anti-Money Laundering & Combating Financial Crime.	AML-4.2.3: Updated method of submitting STRs. AML-4.4: Updated relevant authorities information.

PART B FOLDER	
Reference	Summary of Changes
Glossary of Defined Terms.	
Added definitions for relevant authorities.	