



EDFIS/C/098/2020
17th November 2020

The Chief Executive Officer
All Listed Companies
Self-Regulatory Organisations (SRO)
SRO Members
All Crypto-Asset Services Licensees
All Securities Issuers
All Law Firms
Auditing Firms
Manama, Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 6 (Capital Markets): October 2020 Quarterly Update

The October 2020 update to Volume 6 has now been incorporated in the website version of the Rulebook.¹

Changes for October 2020:

The changes for October 2020 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees and listed companies which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further information:

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547909 or via email: aalsaad@cbb.gov.bh, or the CBB Rulebook team at email: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: October 2020 quarterly update table

¹ Volume 6 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – October 2020 Quarterly Update

Summary of Changes

PART A FOLDER			
Module Code	Module Title	Summary of Changes	
CRA	Crypto-Asset	CRA-4.1.1A	Added a new Paragraph on Provision of Financial Services on a Non-discriminatory Basis.
CSD	Clearing, Settlement and Central Depository	CSD-3.1.1A	Added a new Paragraph on Provision of Financial Services on a Non-discriminatory Basis.
MAE	Markets & Exchanges	MAE-2.1.1A	Added a new Paragraph on Provision of Financial Services on a Non-discriminatory Basis.
MIR	Market Intermediaries and Representatives	MIR-4.1.1A	Added a new Paragraph on Provision of Financial Services on a Non-discriminatory Basis.

Part B

PART B FOLDER
Glossary
Amended definition of ‘Accredited investor(s). Added point (f) in definition of Independent director.