



EDFIS/C/096/2019  
8<sup>th</sup> December 2019

**The Chief Executive Officer**  
All Listed Companies  
Self-Regulatory Organisations (SRO)  
SRO Members  
All Securities Issuers  
Legal Firms  
Auditing Firms  
Manama, Kingdom of Bahrain

Dear Sir/Madam,

**CBB Rulebook: Volume 6 (Capital Markets): October 2019 Quarterly Update**

The October 2019 update to Volume 6 has now been incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for October 2019:**

The changes for October 2019 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees and listed companies which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further information:**

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547909 or via email: [aalsaad@cbb.gov.bh](mailto:aalsaad@cbb.gov.bh), or the CBB Rulebook team at email: [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh).

Yours faithfully,

**Abdul Rahman Al Baker**

Enclosure: October 2019 quarterly update table

<sup>1</sup> Volume 6 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

**CBB Rulebook (Volume 6) – October 2019 Quarterly Update**

**Summary of Changes**

<b>PART A FOLDER</b>			
<b>Module Code</b>	<b>Module Title</b>	<b>Summary of Changes</b>	
AML	Anti-Money Laundering & Combating of Financial Crime	AML-1.2.9A AML-1.9.2 AML-3.1.8 AML-3.2.1 AML-4.2.3 AML-4.4.2 AML-7.1.2 AML-9.2.1AA	Amended reference. Amended authority name. Amended authority name. Amended authority name. Amended authority name. Amended authority name. Deleted Paragraph. Added a new Paragraph on Terrorist Financing.
CRA	Crypto-asset	CRA-1.2.19 CRA-1.2.20 CRA-1.2.21 CRA-1.4.1	Changed from Rule to Guidance. Changed from Rule to Guidance. Changed from Rule to Guidance. Changed from Rule to Guidance.
MAE	Markets & Exchanges	MAE-1.10.1	Amended Paragraph and changed from Rule to Guidance.
MIR	Market Intermediaries and Representatives	MIR-2.9.1	Amended Paragraph and changed from Rule to Guidance.
TMA	Takeovers, Mergers and Acquisitions	TMA Module	Restructured the whole Module TMA (including moving definitions to the glossary and also the appendices under Part B of the CBB Rulebook Volume 6).

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Part B

<b>PART B FOLDER</b>		
<b>Glossary</b>		
Added definition of Disinterested Shares. Amended definition of Offer. Amended definition of Professional Adviser. Added definition of Relevant Person(s). Added definition of Securities Exchange Offer. Amended definition of Share Repurchase. Added definition of Standstill Agreement. Added definition of Take-over. Added definition of Voluntary Offer. Added definition of Voting Rights. Added definition of ‘without delay’.		
<b>Supplementary Information</b>		
Appendix FC-(vi)	Agreed Upon Procedures	Amended for consistency with the requirements of the International Standards on Auditing.