



EDFIS/045/2021
27th September 2021

The Chief Executive Officer
All Listed Companies
Self-Regulatory Organisations (SRO)
SRO Members
All Crypto-Asset Services Licensees
All Securities Issuers
Legal Firms
Auditing Firms
Manama, Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 6 (Capital Markets): July 2021 Quarterly Update

The July 2021 update to Volume 6 has now been incorporated in the website version of the Rulebook.¹

Changes for July 2021:

The changes for July 2021 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees and listed companies which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further information:

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547909 or via email: aalsaad@cbb.gov.bh, or the CBB Rulebook team at email: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: July 2021 quarterly update table

¹ Volume 6 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – July 2021 Quarterly Update

Summary of Changes

PART A FOLDER		
Module Code	Module Title	Summary of Changes
MAM	PROHIBITION OF MARKET ABUSE AND MANIPULATION	MAM-3.10.1 Amended references in Paragraph.
MIE	MARKET SURVEILLANCE, INVESTIGATION & ENFORCEMENT	Amended Module.

Part B

PART B FOLDER
Glossary
Amended definition of Enforcement decision review committee (EDRC). Amended definition of Enforcement order. Amended definition of Warning notice. Added definition of Capital Market Licensee.