



EDFIS/C/054/2018  
19<sup>th</sup> August 2018

**The CEO/General Manager**  
All Listed Companies  
Self-Regulatory Organisations (SRO)  
SRO Members  
All Securities Issuers  
Legal Firms  
Auditing Firms  
Manama – Kingdom of Bahrain

Dear Sir/Madam,

**CBB Rulebook: Volume 6 (Capital Markets) – July 2018 Quarterly Update**

The July 2018 update to Volume 6 has now been incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for July 2018:**

The changes for July 2018 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further information:**

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547128 or via email: [alsaad@cbb.gov.bh](mailto:alsaad@cbb.gov.bh), or the CBB Rulebook team at email: [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh).

Yours faithfully,

  
**Abdul Rahman Al Baker**

*Enclosure: July 2018 quarterly update table.*

<sup>1</sup> Volume 6 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

**CBB Rulebook (Volume 6) – July 2018 Quarterly Update**

**Summary of Changes**

<b>PART A FOLDER</b>			
<b>Module Code</b>	<b>Module Title</b>	<b>Summary of Changes</b>	
AML	Anti-Money Laundering & Combating of Financial Crime	AML-1.1.2	Deleted sub-paragraph (a).
		AML-1.10.2	Amended Paragraph deleting cross reference.
		AML-1.10.3	Deleted Paragraph.
		AML-1.10.9	Deleted Paragraph.
OFS	Offering of Securities	OFS-2.6.7A	Deleted Paragraph.
		OFS-3.2.19	Amended sub-paragraph (c).
		OFS-3.4.6	Amended sub-paragraph (a).
		OFS-3.5.7	Amended sub-paragraph (c).

<b>PART B FOLDER</b>	
<b>Authorisation Forms</b>	
CSD – Form 3 – Application for Approved Persons Status MAE– Form 3 – Application for Approved Persons Status MIR– Form 3 – Application for Approved Persons Status	Amended email of the Licensing Directorate.

