



EDFIS/C/030/2021

2<sup>nd</sup> June 2021

**The Chief Executive Officer**  
All Listed Companies  
Self-Regulatory Organisations (SRO)  
SRO Members  
All Crypto-Asset Services Licensees  
All Securities Issuers  
Legal Firms  
Auditing Firms  
Manama, Kingdom of Bahrain

Dear Sir/Madam,

**CBB Rulebook: Volume 6 (Capital Markets): April 2021 Quarterly Update**

The April 2021 update to Volume 6 has now been incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for April 2021:**

The changes for April 2021 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees and listed companies which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further information:**

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547909 or via email: [aalsaad@cbb.gov.bh](mailto:aalsaad@cbb.gov.bh), or the CBB Rulebook team at email: [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh).

Yours faithfully,

**Abdul Rahman Al Baker**

Enclosure: April 2021 quarterly update table

<sup>1</sup> Volume 6 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – April 2021 Quarterly Update

Summary of Changes

PART A FOLDER			
Module Code	Module Title	Summary of Changes	
MAM	PROHIBITION OF MARKET ABUSE AND MANIPULATION	MAM-2.14.1	Changed guidance to Rule.
		MAM-2.14.2	Added Paragraph number and changed from guidance to Rule.
		MAM-2.14.3	Changed guidance to Rule.