



EDFIS/052/2019

13th May 2019

The Chief Executive Officer

All Listed Companies

Self-Regulatory Organisations (SRO)

SRO Members

All Securities Issuers

Legal Firms

Auditing Firms

Manama, Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 6 (Capital Markets): April 2019 Quarterly Update

The April 2019 update to Volume 6 has now been incorporated in the website version of the Rulebook.¹

Changes for April 2019:

The changes for April 2019 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees and listed companies which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further information:

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547909 or via email: aalsaad@cbb.gov.bh, or the CBB Rulebook team at email: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: April 2019 quarterly update table

¹ Volume 6 can be accessed from www.cbb.gov.bh: from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

CBB Rulebook (Volume 6) – April 2019 Quarterly Update

Summary of Changes

PART A FOLDER			
Module Code	Module Title	Summary of Changes	
CRA	Crypto-Asset	CRA-1.1.6(f) CRA-1.1.6(g) CRA-1.6.3 CRA-1.6.10	Amended sub-paragraph. Moved to sub-paragraph (f). Added License fee table based on Category. Amended Paragraph.

PART B FOLDER	
Glossary	
New glossary terms added to incorporate terms for Crypto Asset Module (CRA).	