



EDFIS/C/37/2018  
29<sup>th</sup> April 2018

**The CEO/General Manager**  
All Listed Companies  
Self-Regulatory Organisations (SRO)  
SRO Members  
All Securities Issuers  
Legal Firms  
Auditing Firms  
Manama, Kingdom of Bahrain

Dear Sir/Madam,

**CBB Rulebook: Volume 6 (Capital Markets): April 2018 Quarterly Update**

The April 2018 update to Volume 6 has now been incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for April 2018:**

The changes for April 2018 are listed in the attached table.

All licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further information:**

Should you have any queries regarding these updates, you may contact the Director of Capital Markets Supervision (CMS) Directorate, Mrs. Abeer Al Saad on Tel: 17547128 or via email: [alsaad@cbb.gov.bh](mailto:alsaad@cbb.gov.bh), or the CBB Rulebook team at email: [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh).

Yours faithfully,

**Abdul Rahman Al Baker**

Enclosure: April 2018 quarterly update table

<sup>1</sup> Volume 6 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

**CBB Rulebook (Volume 6) – April 2018 Quarterly Update**

**Summary of Changes**

<b>PART A FOLDER</b>			
<b>Module Code</b>	<b>Module Title</b>		<b>Summary of Changes</b>
MAE	Markets Exchanges &	MAE-5.3.7B	Amended Paragraph.
CSD	Clearing, Settlement and Central Depository	CSD-5.3.7B	Amended Paragraph.

<b>PART B FOLDER</b>	
<b>Authorisation Forms</b>	
CSD – Form 3 – Application for Approved Persons Status	Amended paragraph.
MAE– Form 3 – Application for Approved Persons Status	Amended Paragraph.
MIR– Form 3 – Application for Approved Persons Status	Amended Paragraph.