



EDFIS/C/010/2014

8<sup>th</sup> July 2014

**The CEO/General Manager**  
All Listed Companies  
Self-Regulatory Organisations (SRO)  
SRO Members  
All Securities Issuers  
All CBB Licensees  
Legal Firms  
Auditing Firms  
Manama, Kingdom of Bahrain

Dear Sir,

**CBB Rulebook, Volume 6 (Capital Markets):**  
**July 2014 Quarterly Update**

This letter summarises the changes being made to Volume 6 (Capital Markets) of the CBB Rulebook. These changes will shortly be posted on the CBB website, at which point they will have immediate effect.<sup>1</sup>

This letter highlights changes introduced this quarter. Licensees are required to note any policy changes. In addition, recipients who wish to update their hard copy folders should access the Central Bank of Bahrain ('CBB') website and print off the relevant pages for insertion into their folder.

All changes are highlighted in the Modules included on the CBB's website. All changes to the text of the previous version of Volume 6, however minor, are highlighted. The searchable version of the Rulebook, in addition, allows users to list changes and compare different versions of the Rulebook.

***Contents Pages and Module History***

The contents page for each Module shows the date each section was last changed and provides a good reference tool to keep track of the latest updates along with the Module History.

<sup>1</sup> Volume 6 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 6'.

***Changes for July 2014***

The changes for July 2014 are listed in the attached table.

**Further information**

Should you have any queries regarding these updates, you may contact the Director of the Capital Markets Supervision (CMS) Directorate, Mr. Ali Salman Thamer (on 17547909, or via email at: [ali@cbb.gov.bh](mailto:ali@cbb.gov.bh)) or the CBB Rulebook team at ([rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh)).

Yours faithfully,



**Abdul Rahman Al Baker**

*Enclosure: July 2014 quarterly update table*

## CBB Rulebook (Volume 6) – July 2014 Quarterly Update

### Summary of Changes

*N.B. All changes to the previous text of the items listed below are highlighted in Volume 6 posted on the CBB website, to aid users. The searchable version of the Rulebook also allows users to list changes and to compare different versions of the Rulebook.*

PART A FOLDER		
Module Code	Module Title	Summary of Changes
MIR	Market Intermediaries and Representatives	MIR-2.5.4, MIR-2.5.5, MIR-3.1.3 and MIR-4.8.9: Removed reference to exempted member as this category was deleted as a licensing category in April 2013. MIR-3.1.3: Corrected reference to non-existent Subparagraph. MIR-4.8.2: Correction made to align with the requirements of the CBB Law.