



Name of Proposed Licensee



CENTRAL BANK OF BAHRAIN

**Form 6:
Quarterly Statistics to Be Submitted By a Licensed
Exchange Operating a Securities Market**



**Form 6: Quarterly Statistics to Be Submitted by a Licensed Exchange
Operating a Securities Market**

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Form 6: INSTRUCTIONS

1. Applicants must submit this Form under cover of a letter signed by an authorised signatory of the licensed exchange. Various supporting documentation – specified in the Form – must also be appended.
2. Complete all sections as fully as possible, attaching supporting documents and continuation sheets where appropriate. Attach annex(es) signed by the signatories, if there is insufficient space for your answers.
3. This form must be submitted within 10 business days from the end of each quarter.
4. All documentation provided to the CBB must be in either the Arabic or English languages. Any documentation in a language other than English or Arabic must be accompanied by a certified English or Arabic translation thereof.
5. The **original** completed form, together with supporting documentation, should be submitted to:

The Director, Capital Markets Supervision Directorate
Central Bank of Bahrain
PO Box 27
Manama
Kingdom of Bahrain

6. Queries may be addressed to the Director, Capital Markets Supervision Directorate, on +973 17 547907 (telephone), +973 17 532957 (fax) and aalsaad@cbb.gov.bh (e-mail).
7. The licensed exchange should provide any additional information considered relevant or material to the various parts of this form.
8. If there are any changes in the information furnished in the form prior to the completion of the review of this application, the Central Bank of Bahrain (CBB) should be notified immediately.
9. Finally, applicants are also reminded that providing to the CBB any information which is false or misleading may result in the cancellation of any license issued.



Form 6: Section I – New Listings, Issuances and Delistings

Provide the following information on new listings on the respective market or trading board of the licensed exchange and new admittances to the licensed exchange's official list that have occurred in the last 3 completed months:

1. The licensed exchange's market on which corporation is listed:
2. The name of newly listed corporation:
3. The country of incorporation of the newly listed corporation, and if different, the country of the newly listed corporation's principal place of business:
4. The newly listed corporation's sector classification on the licensed exchange's market or trading board (e.g. commerce; finance; multi-industry, etc.):
5. The date of listing:
6. If applicable, the names of other exchanges which the newly listed corporation is also listed on:



**Form 6: Section I – New Listings, Issuances and Delistings
(Continued)**

Provide the following information on new issues or placement of securities on the market operated by the licensed exchange that have occurred in the last 3 completed months:

1. The name of issuing corporation:
2. The type of issuance (e.g. new issue; secondary issue; private placement, etc.):
3. The type of securities issued (e.g. ordinary shares; preference shares; fixed income securities, etc.):
4. The details of the issuance (e.g. number of securities offered; issue price; tenor and interest rate for fixed income securities; ratio for rights issue; amount raised; last day of payment):



**Form 6: Section I – New Listings, Issuances and Delistings
(Continued)**

Provide the following information on the delistings on the respective market or trading board of the licensed exchange and the licensed exchange's official list that have occurred in the last 3 completed months:

1. The licensed exchange's market or trading board (e.g. main board or secondary board) on which the delisted corporation was listed:
2. The name of the delisted corporation:
3. The country of incorporation of the delisted corporation, and if different, the country of the delisted corporation's principal place of business:
4. The reasons for delisting:
5. The date of delisting:
6. If applicable, the names of other exchanges which the delisted corporation is or was also listed on:



Form 6: Section II – Foreign Stocks, Trading Linkages and Market Capitalisation

Provide the following information on foreign stocks listed on the respective market or trading board of the licensed exchange, on a monthly basis, for the last 3 completed months:

1. The turnover contributed by foreign stocks, by volume and value:
2. The turnover contributed by foreign stocks, by volume and value, as a percentage of the turnover of the markets or trading boards that they are listed on:
3. The top 20 most active foreign stocks, by volume and value:
4. The market capitalisation contributed by foreign stocks:
5. The market capitalisation contributed by foreign stocks, as a percentage of the market capitalisation of the markets or trading boards that they are listed on:
6. The top 20 largest foreign stocks, by market capitalisation, and their individual capitalisation as a percentage of the total market capitalisation:

Note: “foreign stocks” means the stock of corporations whose principal place of business is not in Bahrain.



**Form 6: Section II – Foreign Stocks, Trading Linkages and Market
Capitalisation (Continued)**

Please provide information with regards to the following:

1. Turnover, by volume and value, of transactions done through trading linkages which the licensed exchange may have established with overseas exchanges:
2. The frequency of distribution by companies listed or quoted on each of the respective markets or trading boards, in addition to the amount as a percentage of market capitalisation of such corporations at the time of distribution: