



EDFIS/C/013/2017
24th April 2017

The CEO/General Manager
Ancillary Service Providers
All Administrators
Money Changers
Representatives Offices
Manama
Kingdom of Bahrain

Dear Sir,

CBB Rulebook: Volume 5 (All Specialised Licensees)
April 2017 Update

The April 2017 quarterly update to Volume 5 has now been incorporated in the website version of the Rulebook.¹

Changes for April 2017:

The changes for April 2017 are listed in the attached table.

Further Information:

Should you have any queries regarding these updates, you may contact the Acting Director of Financial Institutions Supervision Directorate, Mrs. Ebtisam Al Arrayed on Tel: 17547968, or the CBB Rulebook team on e-mail: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: Volume 5 Update Table.

¹ Volume 5 can be accessed from www.cbb.gov.bh : from the Home page, select 'Regulatory Functions' and then 'Laws & Regulations' and CBB Rulebook. Alternatively, use the 'Quick Links' facility on the Home page to access the Volume 5.

CBB Rulebook (Volume 5) – April 2017 Update

| PART A FOLDER Common Modules | | |
|------------------------------|-----------------|--|
| Module Code | Module Title | Summary of Changes and Printing Instructions |
| EN | Enforcement | EN-5.3A.3 Adjustment to Financial Penalties for Date Sensitive Requirements. |
| FC | Financial Crime | FC-8.2.1A Implementing and complying with the United Nations Security Council resolutions requirement. |

| PART A FOLDER Specific Ancillary Service Providers Modules | | |
|--|----------------------------|---|
| Module Code | Module Title | Summary of Changes and Printing Instructions |
| AU | Authorisation | AU-1.2.10A, AU-1.2.10B and AU-1.2.10C Added Paragraphs on issuance of pre-paid cards and PCI-DSS certification for Payment Service Providers. AU-1.2.11 Amended Paragraph on settlement. AU-2.3.2 Amended reference. AU-4.1.12 Amended bank guarantee amount. AU-4.1.16(l) Amended bank guarantee amount. |
| BR | CBB Reporting Requirements | BR-1.4 Added a new Section on Onsite Inspection Reporting. |

| PART A FOLDER Specific Money Changers Modules | | |
|---|---------------|--|
| Module Code | Module Title | Summary of Changes and Printing Instructions |
| BR | CBB Reporting | BR-1.6 - Added a new Section on Onsite Inspection Reporting. |

| PART B FOLDER | | |
|---------------------|--|--|
| Authorisation Forms | | |
| Form 3 | Application for Approved Person Status | Updated Sections I, III & IV on mandatory documents. |