



EDBS/C/019/2017
26th April 2017

The CEO/General Manager
All Ancillary Service Providers,
Financing Companies,
Representative Offices,
Manama
Kingdom of Bahrain

Dear Sir,

CBB Rulebook: Volume 5 (All Specialised Licensees)
April 2017 Update

The April 2017 quarterly update to Volume 5 has now been incorporated in the website version of the Rulebook.¹

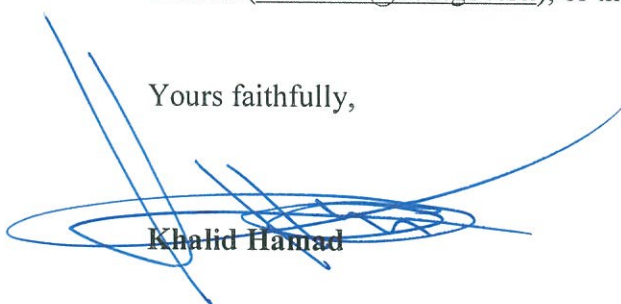
Changes for April 2017

The changes for April 2017 are listed in the attached table.

Further Information

Should you have any queries regarding these updates, you may contact the CBB Rulebook team at (rulebook@cbb.gov.bh), or the normal supervisory point of contact.

Yours faithfully,



Khalid Hamad

Enclosure: Volume 5 Update Table.

¹ Volume 5 can be accessed from www.cbb.gov.bh : from the Home page, select 'Regulatory Functions' and then 'Laws & Regulations' and CBB Rulebook. Alternatively, use the 'Quick Links' facility on the Home page to access the Volume 5.

CBB Rulebook (Volume 5) – April 2017 Update

PART A FOLDER Common Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
EN	Enforcement	EN-5.3A.3 Adjustment to Financial Penalties for Date Sensitive Requirements.
FC	Financial Crime	FC-8.2.1A Implementing and complying with the United Nations Security Council resolutions requirement.

PART A FOLDER Specific Ancillary Service Providers Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
AU	Authorisation	AU-1.2.10A, AU-1.2.10B and AU-1.2.10C Added Paragraphs on issuance of pre-paid cards and PCI-DSS certification for Payment Service Providers. AU-1.2.11 Amended Paragraph on the settlement. AU-2.3.2 Amended reference. AU-4.1.12 Amended bank guarantee amount. AU-4.1.16(l) Amended bank guarantee amount.
BR	CBB Reporting Requirements	BR-1.4 Added a new Section on Onsite Inspection Reporting.

PART A FOLDER Specific Financing Companies Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
BR	CBB Reporting Requirements	BR-1.1.2 Added sub-paragraph (k) on CPD requirements. BR-1.7 Added a new Section on Onsite Inspection Reporting.
OM	Operational Risk Management	OM-5.1.20 Added a Paragraph on Geolocation limitation.
TC	Training and Competency	TC-2.3.3 Amended Paragraph on exception to the grandfathering Rule.



CBB Rulebook (Volume 5) – April 2017 Update – Contd.

PART A FOLDER Specific Microfinance Institutions Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
BR	CBB Reporting Requirements	BR-1.5 Added a new Section on Onsite Inspection Reporting..

Part B

PART B FOLDER		
Authorisation Forms		
Form 3	Application for Approved Person status	Specified documents for submission.

