



EDBS/KH/C/50/2016
15th November 2016

The CEO/General Manager
All Ancillary Service Providers,
Financing Companies,
Microfinance Institutions,
Representative Offices,
Manama
Kingdom of Bahrain

Dear Sir,

CBB Rulebook: Volume 5 (All Specialised Licensees)
October 2016 Update

The October 2016 quarterly update to Volume 5 has now been incorporated in the website version of the Rulebook.¹

Changes for October 2016

The changes for October 2016 are listed in the attached table.

Further Information

Should you have any queries regarding these updates, you may contact the CBB Rulebook team at (rulebook@cbb.gov.bh), or the normal supervisory point of contact.

Yours faithfully,


Khalid Hamad

Enclosure: Volume 5 Update Table.

¹ Volume 5 can be accessed from www.cbb.gov.bh : from the Home page, select 'Regulatory Functions' and then 'Laws & Regulations' and CBB Rulebook. Alternatively, use the 'Quick Links' facility on the Home page to access the Volume 5.

CBB Rulebook (Volume 5) – October 2016 Update

PART A FOLDER Common Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
AA	Auditors and Accounting Standards	AA-3.1 Deleted Section on QPR requirement
UG	Users Guide	UG- 1.3.4 Added section to clarify reference to 'he' 'his' 'she' and 'her'

PART A FOLDER Specific Ancillary Service Providers Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
GR	General Requirements	GR-9.1.8 Added additional requirement for cessation of business to be consistent with other Volumes of the CBB Rulebook

PART A FOLDER Specific Financing Companies Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
GR	General Requirements	GR-6.1.8 Added additional requirement for cessation of business to be consistent with other Volumes of the CBB Rulebook
OM	Operational Risk Management	CM-4.9 Added new Section on Cyber Security Risk Management CM-5.3 Added new Section on Cyber Security Measures

PART A FOLDER Specific Microfinance Institutions Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
GR	General Requirements	GR-6.1.8 Added additional requirement for cessation of business to be consistent with other Volumes of the CBB Rulebook

CBB Rulebook (Volume 5) – October 2016 Update – Contd.

PART A FOLDER Specific Representative Offices Modules		
Module Code	Module Title	Summary of Changes and Printing Instructions
GR	General Requirements	GR-4.1.8 Added additional requirement for cessation of business to be consistent with other Volumes of the CBB Rulebook

PART B FOLDER		
Authorisation Forms		
Form 3	Application for Approved Person Status	Updated Section IV – Personal Background

