



ANCILLARY SERVICE PROVIDERS CBB REPORTING REQUIREMENTS MODULE



MODULE	BR:	CBB Reporting Requirements
CHAPTER	BR-1:	Prudential Reporting

BR-1.5 Other Reporting Requirements

BR-1.5.1

Crowdfunding platform operators must provide the following information for each type of crowdfunding offer (i.e. financing-based or equity-based) within 30 days of each quarter end:

- (a) The aggregate amount of offers as at the quarter end;
- (b) The number of investors/lenders by client type (i.e. retail or accredited) as at the quarter end;
- (c) The aggregate amount funds raised during the quarter;
- (d) Successfully completed crowdfunding offers as at the quarter end;
- (e) Unsuccessful crowdfunding offers as at the quarter end; and
- (f) Total client money and assets held as at the quarter end.