



FIS/C/001/2010
6th October 2010

The General Manager
Investment Business Firms Categories 1, 2 and 3
Manama
Kingdom of Bahrain

Dear Sir,

Re: Investment Business Firm Licensees – Business Card Requirements

Reference is made to the Business Conduct Module (BC) of CBB Rulebook Volume 4 which contains requirements that have to be met by Investment Firm Licensees (“licensees”) with regards to their dealings with clients, specifically, Rule BC-2.3.15 regarding the identification of representatives of Investment Business Firm licensees to clients, by way of business card.

Reference is also made to Rule GR-2.2.1 requiring licensees to include a statement that the licensee is regulated by the Central Bank of Bahrain (“CBB”) on all written communication including business cards.

In this regard, the CBB wishes to remind licensees of the above requirements and appreciates their co-operation in complying with the same as soon as possible but not later than 31st December 2010.

Yours sincerely,

Mohammed Ayman Al Tajer
Director of Financial Institutions Supervision Directorate