



EDFIS/C/053/2018

16th August 2018

The CEO/General Manager
All Investment Firm Licensees
Manama
Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 4 (Investment Business)
July 2018 Update

The July 2018 quarterly update to Volume 4 has now been incorporated in the website version of the Rulebook.¹

Changes for July 2018:

The changes for July 2018 are listed in the attached table.

Licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further Information:

Should you have any queries regarding these updates, you may contact the Director of Financial Institutions Supervision Directorate, on 17547968 or the CBB Rulebook team at rulebook@cbb.gov.bh.

Yours faithfully,


Abdul Rahman Al Baker

Enclosure: Volume 4 Update Table.

¹ Volume 4 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 4'.

CBB Rulebook (Volume Four) – July 2018 Update

PART A FOLDER		
Module Code	Module Title	Summary of Changes and Printing Instructions
FC	Financial Crime	FC-1.1.2 Deleted sub-paragraph (a). FC-1.2.1 Amended Paragraph deleting the threshold. FC-1.10.2 Amended Paragraph. FC-1.10.3 Deleted Paragraph. FC-1.10.9 Deleted Paragraph.

PART B FOLDER	
CBB AUTHORISATION FORMS	
Form 1 Application for a License Form 2 Application for Authorisation of Controller Form 3 Application for Approved Person Status	<ul style="list-style-type: none"> • Amended email of “the Licensing Directorate”. • Amended License payment method.