

Executive Director of Financial Institutions Supervision





EDFIS/C/29/2017 27th July 2017

The Compliance Officer

All Investment Firm Licensees Manama Kingdom of Bahrain

Dear Sir,

<u>CBB Rulebook: Volume 4 (Investment Business)</u> July 2017 Quarterly Update

The July 2017 quarterly update to Volume 4 has now been incorporated in the website version of the Rulebook.¹

Changes for July 2017

The changes for July 2017 are listed in the attached table.

Further Information

Should you have any queries regarding these updates, you may contact the Acting Director of the Financial Institutions Supervision Directorate, Mrs. Ebtisam Al Arrayed on 17547968, or via email at: earrayed@cbb.gov.bh or the CBB Rulebook team on email: rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure:

Volume 4 Update Table.

'Volume 4'.

¹ Volume 4 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then

CBB Rulebook (Volume Four) – July 2017 Update

PART A FOLDER		
Module Code	Module Title	Summary of Changes and Printing Instructions
AU	Authorisation	AU-5.6 Added new Section on Publication of the Decision to Grant, Cancel or Amend a License
GR	General Requirements	GR-1.2.1 Amended paragraph according to the Legislative Decree No. (28). GR-1.2.2 Deleted paragraph.