



EDFIS/C/011/2021
7th February 2021

The Chief Executive Officer
All Investment Firm Licensees
Manama
Kingdom of Bahrain

Dear Sir/Madam,

CBB Rulebook: Volume 4 (Investment Business)
January 2021 Update

The January 2021 quarterly update to Volume 4 has now been incorporated in the website version of the Rulebook.¹

Changes for January 2021:

The changes for January 2021 are listed in the attached table.

Licensees must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Licensees which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further Information:

Should you have any queries regarding these updates, you may contact the Director of Financial Institutions Supervision Directorate, on 17547968 or the CBB Rulebook team at rulebook@cbb.gov.bh.

Yours faithfully,

Abdul Rahman Al Baker

Enclosure: Volume 4 Update Table.

¹ Volume 4 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 4'.

CBB Rulebook (Volume Four) – January 2021 Update

PART A FOLDER		
Module Code	Module Title	Summary of Changes and Printing Instructions
AU	Authorisation	AU-1.2.2 Deleted Sub-paragraph (g). AU-1.2.5 Deleted Paragraph. AU-2.2.3 Amended Paragraph on approved persons occupying controlled functions.
FC	Financial Crime	FC-5.1.6A Added a new Paragraph on requirements to hire new employees.

Part B

PART B FOLDER		
Supplementary Information		
Appendix CA-1	Bahrain Public Sector Entities, International Organisations & Multilateral Development Banks	Added Bahrain Gasoline Blending W.L.L. to the NOGA Holding Company and its majority owned companies.