



FINANCIAL CRIME MODULE



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APPENDICES (included in Volume 3 (Insurance), Part B)

CBB Reporting Forms

<i>Form Name</i>	<i>Subject</i>	
STR	Suspicious Transaction Reporting Form [Deleted in July 2016]	07/2016

Supplementary Information

<i>Item Number</i>	<i>Subject</i>	
FC- (i)	Decree Law No. 4 (2001)	01/2006
FC-(i)(a)	Decree Law No. 54 (2006)	01/2007
FC-(i)(b)	Decree Law No. 58 (2006)	01/2007
FC- (ii)	UN Security Council Resolution 1373 (2001)	01/2006
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CHAPTER	FC-1: Customer Due Diligence Requirements

FC-1.2 Face-to-face Business

Natural Persons

FC-1.2.1

If the customer is a natural person, the insurance licensee must identify the person's identity and obtain the following information before providing financial services of any kind:

- a) Full legal name and any other names used;
- b) Full permanent address (i.e. the residential address of the customer; a post office box is insufficient);
- c) Date and place of birth;
- d) Nationality;
- e) Passport number (if the customer is a passport holder);
- f) Current CPR or Iqama number (for Bahraini or GCC residents only) or government issued national identification proof;
- g) Telephone/fax number and email address (where applicable);
- h) Occupation or public position held (where applicable);
- i) Employer's name and address (if self-employed, the nature of the self-employment);
- j) Type of policy, and nature and volume of anticipated business dealings with the insurance licensee;
- k) Signature of the customer(s);
- l) Source of funds for payment of premium; and
- m) Reason for opening the account; and
- n) Place of birth.

FC-1.2.1A

Insurance licensees obtaining the information and customer signature electronically using digital applications must comply with the applicable laws governing the onboarding/business relationship including but not limited to the Electronic Transactions Law (Law No. 54 of 2018) for the purposes of obtaining signatures as required in Subparagraph FC-1.2.1 (k) above.

FC-1.2.2 See Part B, Volume 3 (Insurance), for a Guidance Note on source of funds.