



EDBS/KH/C/49/2018
14th July 2018

Chief Executive Officer/General Manager
All Conventional Bank Licensees
Manama
Kingdom of Bahrain

Dear Sir,

CBB Rulebook: Volume 1 – July 2018 Quarterly Update

The latest quarterly update to Volume 1 has now been incorporated in the website version of the Rulebook.¹

Changes for July 2018

The changes for July 2018 are listed in the attached table.

Banks must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Banks which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

Further information

Should you have any queries regarding these updates, you may contact the CBB Rulebook team at rulebook@cbb.gov.bh, or else please speak to your normal supervisory point of contact.

Yours faithfully,


Khalid Hamad

Enc.

¹ Volume 1 can be accessed from www.cbb.gov.bh : from the Home page, select 'CBB Rulebooks' and then 'Volume 1'

CBB Rulebook: Volume 1 – July 2018 Quarterly Update

Part A

Module Code	Summary of Changes and Printing Instructions	
BR	BR-1.2.3 BR-5.1.7	Amended Paragraph to clarify submission deadline. Deleted Paragraph.
EN	EN-4.1 EN-5.2.2 EN-5.2.4 EN-6.1.1 EN-6.1.2 EN-6.1.2A EN-6.1.5 EN-6.2.1 EN-6.2.2 EN-6.2.3 EN-6.2A.5 EN-6.3.4 Appendix A	Amended Section title. Amended Paragraph. Added new Paragraph on re-assessment tests. Amended Paragraph. Amended Paragraph. Added new Paragraph on maximum financial penalty. Added reference to new Appendix A. Deleted Paragraph. Deleted Paragraph. Deleted Paragraph. Deleted Paragraph. Amended Paragraph. Added new Appendix.
FC	FC-1.1.2 FC-1.10A FC-1.10A.2 FC-1.11.3 FC-1.11.8 FC-1.12.10	Deleted sub-paragraph (g). Amended Section title deleting the threshold. Amended Paragraph deleting the threshold. Deleted Paragraph. Deleted Paragraph. Amended Paragraph number (f).
HC	HC-1.8.1 HC-6.6	Amended paragraph to be consistent with HC-6.6. Added new Section on Risk Management.
OM	OM-3.9.2 OM-3.9.2A	Amended Paragraph to include call centres. Added new Paragraph on customer notification.
PD	PD1.2A.2	Amended Paragraph on 'Publication of Annual Audited Financial Statements' time frame.

Part B

Module Code	Summary of Changes	
CBB AUTHORISATION FORMS		
Form 1 Application for a License Form 2 Application for Authorisation of Controller Form 3 Application for Approved Person Status	Amended the email of the Licensing Directorate.	Amended License payment method.
SUPPLEMENTARY INFORMATION		
Appendix BC-7	Amendments to Caps on Fees and Charges for Standard Services Provided to Individuals.	