



EDBS/KH/C/49/2020  
15<sup>th</sup> June 2020

**Chief Executive Officer**  
All Conventional Bank Licensees  
Manama  
Kingdom of Bahrain

Dear Sir,

**CBB Rulebook: Volume 1 – April 2020 Quarterly Update**

The latest quarterly update to Volume 1 will be incorporated in the website version of the Rulebook.<sup>1</sup>

**Changes for April 2020**

The changes for April 2020 are listed in the attached table.

Banks must ensure that all relevant staff are informed of the latest amendments and that where needed, appropriate action is taken to ensure full compliance. Banks which are not in full compliance must notify the CBB with immediate effect and provide an action plan for compliance on a quarterly basis.

**Further information**

Should you have any queries regarding these updates, you may contact the CBB Rulebook team at [rulebook@cbb.gov.bh](mailto:rulebook@cbb.gov.bh), or else please speak to your normal supervisory point of contact.

Yours faithfully,

**Khalid Hamad Al-Hamad**

Enc.

<sup>1</sup> Volume 1 can be accessed from [www.cbb.gov.bh](http://www.cbb.gov.bh) : from the Home page, select 'CBB Rulebooks' and then 'Volume 1'

**CBB Rulebook: Volume 1 – April 2020 Quarterly Update**

**Part A**

<b>Module Code</b>	<b>Summary of Changes and Printing Instructions</b>	
AU	AU-B.1.2 AU-1.1.4 AU-1.5.5 AU-3.2.12 AU-4.1.2	Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph.
BC	BC-4.17 BC-9.3.15 BC-9.5.6 BC-9.7.1 – BC-9.7.3	Added a new Section on Blocking Customer Accounts. Amended Paragraph adding reference to CBB consumer protection. Amended Paragraph adding reference to CBB consumer protection. Amended Paragraph adding reference to CBB consumer protection.
BR	BR-5.2.9 BR-5.2.9A	Amended sub-paragraph (e). Added a new Paragraph on SPV reporting.
EN	EN-6.2A.4	Amended Paragraph.
FC	FC-2.1.3 & FC-2.1.4	Added new Paragraphs on KPIs compliance with AML/CFT requirements.
GR	GR-B.1.2 GR-B.1.3 GR-2.1.2 GR-4.1.5 GR-4.3.2 (d) GR-5.1.1 GR-5.1.10 GR-7.1.4 GR-7.1.12	Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended sub-Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph. Amended Paragraph.

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**Part A**

<b>Module Code</b>	<b>Summary of Changes and Printing Instructions</b>	
HC	HC-5.4.9A	Added a new Paragraph on KPIs compliance with AML/CFT requirements.
	HC-6.2.1	Amended Paragraph on reporting line.
UG	UG-3.2.2	Amended Paragraph.

**Part B**

<b>Module Code</b>	<b>Summary of Changes</b>	
<b>Authorisation Forms</b>		
Form 2	Application for Authorisation of Controller	<ul style="list-style-type: none"><li>• Amended Declaration.</li><li>• Amended Section II -Individual Controller.</li><li>• Amended Section III – Corporate Controller.</li><li>• Amended Section IV – Additional Information.</li></ul>
Form 3	Application for approved person status	<ul style="list-style-type: none"><li>• Amended Declaration.</li><li>• Amended Section IV – Personal Background.</li></ul>
<b>Supplementary Information</b>		
Appendix BC-7	Retail Banks Standard Service Charges	<ul style="list-style-type: none"><li>• Added new caps on fees and charges.</li><li>• Amended Funds Transfer fees.</li></ul>

