

RESOLUTION NO.(43) OF 2010 WITH RESPECT TO AMENDING SCHEDULE NO.(1) OF 2007 WITH RESPECT TO SERVICES SUBJECT TO THE SUPERVISION OF THE CENTRAL BANK OF BAHRAIN

The Governor of the Central Bank of Bahrain,

Having reviewed the Law of Central Bank of Bahrain and Financial Institutions promulgated by Law No.(64) of 2006,

And the Schedule No.(1) of 2007 with respect to Services subject to the supervision of the Central Bank of Bahrain, as amended by Resolution No.(9) of 2010,

HEREBY ORDERS:**Article 1**

For the provisions of Clause No.(6) of the Schedule given in Article (1) of Schedule No.(1) of 2007 with respect to Services subject to the Control of the Central Bank of Bahrain, there shall be substituted the following:

No.	Basic Licence Category	Licence sub-categories	Regulated activities
6.	Operating stock Exchanges	a) Operating Stock and dealing Systems of Exchanges in securities and financial tools.	Managing and operating exchanges, and dealings in stocks, financial tools, deeds, deferred options related to stocks, financial tools and commodities that include such stocks, tools, deeds, options and carry out such business and activities related to these services.
		b) Settlement, clearing and central deposit operators	Managing and operating deposit systems, central filing, settlement and set-off obligations and rights resulted from deals executed at licensed Stock exchanges; pursue business and activities related to these services.
		c) Dealers in stocks and financial tools	Provide brokerage services in stocks, financial tools, deeds, deferred options listed and circulated in licensed stock exchanges and investment portfolios related to these stocks, tools, deeds, options, undertake businesses and activities related to such services.

Article 2

All Central Bank of Bahrain's Directorates, each in its respective capacity, shall implement these Regulations which shall come into effect from the day following the date of its publication in the Official Gazette.

**Signed: Rasheed Mohamed Al Maraj,
Governor of Central Bank of Bahrain.**

Issued on: 10th Thulqeida, 1431 Hijra,
Corresponding to: 18th October, 2010 A.D.
