



Name of Proposed Licensee



CENTRAL BANK OF BAHRAIN

**Form 3:
Information on Shareholders and Subsidiaries**

Form 3: Information on Shareholders and Subsidiaries
Table of Contents

	Date Last Changed
Instructions	4/2008
Section I	4/2008
Group Ownership Structure	4/2008
Section II	4/2008
Parent Entity/Majority Shareholder Details	4/2008
Section III	4/2008
Individual Majority Shareholder Details	4/2008
Section IV	4/2008
Controlling Interest	4/2008
Section V	4/2008
Subsidiary Details	4/2008



Form 3: INSTRUCTIONS

1. Applicants must submit this Form in respect of each shareholder or subsidiary together with Form 1. Various supporting documentation – specified in the Form – must also be appended to the application.
2. Complete all sections as fully as possible, attaching supporting documents and continuation sheets where appropriate. Attach annex(es) signed by the signatories, if there is insufficient space for your answers. The application should be written in black ink in BLOCK CAPITALS or typed.
3. Failure to provide all the required information for each shareholder or subsidiary may result in significant delays in processing the application. The CBB does not accept responsibility for any loss caused to the applicant by any such delay.
4. Place a tick (✓) in the relevant boxes where appropriate. Where there is an asterisk (*), delete whichever is inapplicable. If any question is not applicable given your particular circumstances, please clearly indicate by marking 'N/A', with an explanation as to why it does not apply. Please provide a full explanation for any question that cannot be answered at this stage. Please note that failure to provide the required information may prejudice an application and may cause delay.
5. All documentation provided to the CBB must be in either the Arabic or English languages. Any documentation in a language other than English or Arabic must be accompanied by a certified English or Arabic translation thereof.
6. The **original** completed form, together with supporting documentation, should be submitted as part of the application made under Form 1.
7. Queries may be addressed to the Director, Capital Markets Supervision Directorate, on +973 17 547907 (telephone), +973 17 532957 (fax) and ali@cbb.gov.bh (e-mail).
8. If there are any changes in the information furnished in the application prior to the completion of the review of this application, the Central Bank of Bahrain (CBB) should be notified immediately.

For the purpose of this Form, reference to 'majority shareholder' refers to a person or entity who owns or controls 5% or more of the applicant's shares.

Form 3: INSTRUCTIONS (Continued)

9. Finally, applicants are also reminded that providing to the CBB any information which is false or misleading in connection with the submission of this application or any related information may result in the refusal of the application or, if discovered later, the subsequent cancellation of any license issued.

Form 3: Section I – Group Ownership Structure
--

Provide a diagrammatic representation of the applicant's group ownership structure showing the applicant's shareholders (whether corporation or individual) who hold at least 5% of the applicant's issued share capital, and the applicant's subsidiaries. At each ownership level, provide details of the following:

1. Each shareholder's percentage shareholding ownership in the applicant
--

2. The applicant's percentage shareholding ownership in each of its subsidiaries
--



Form 3: Section II – Parent Entity/Majority Shareholder Details

Provide the following details on the applicant's parent entity (if applicable) or a majority shareholder who is an entity:

1. Name:

2. Address and country of the principal place at which the business is carried out:

3. Telephone number and facsimile number:

4. E-mail address and URL of the internet web page (if applicable):

5. Location of registered office (address and country):

6. Form of entity (e.g., association, corporation, etc.):

7. Date and place of formation or incorporation:

8. Brief description of business or operation functions:

9. Names of the chairman, members of the Board of Directors and chief executive officer of the shareholder:

10. If applicable, list of names and addresses of persons holding 50% or more of the share capital of the shareholder.

11. Attach certified true copies of the latest audited profit and loss account, audited balance-sheet and auditors' report.



Form 3: Section III – Individual Majority Shareholder Details

Provide the following details on the applicant's individual majority shareholder:

1. Name:

2. Residential address and country:

3. Telephone number and facsimile number:

4. Place of birth and date of birth (dd/mm/yy):

5. Nationality:

6. CPR number (for Bahraini citizen or permanent resident)/passport number (for non-Bahraini citizen):

7. Occupation:

8. Directorships held in other corporations:

Form 3: Section IV – Controlling Interest

If anyone, other than those stated as directors and shareholders of the applicant under Form 2 "Information on Chief Executive Officer or Director" or this Form, has ultimate controlling interest in the applicant, or directly or indirectly exercises or has the power to exercise controlling influence over the management and policies of the applicant, give details of each such person including the following:

1. Name:

2. Nationality:

3. CPR number (for Bahraini citizen or permanent resident)/passport number (for non-Bahraini citizen):

4. Address:

5. Occupation:

6. Academic/professional qualifications:

7. Executive directorship held in any other corporation:

Form 3: Section V – Subsidiary Details

Using a separate sheet for each subsidiary, provide the following details on the applicant's subsidiaries:

1. Name:

2. Address and country of the principal place at which the business is carried out:

3. Date and place of incorporation:

4. Brief description of business or functions:
--

5. Names of the chairman, other members of the board of directors and chief executive officer:
--