



CENTRAL BANK OF BAHRAIN

Suspicious Transaction Report (STR) Form



STR Form: INSTRUCTIONS

PLEASE NOTE:

1. Decree Law No. 4 of 2001 requires institutions, including all CBB licensees, to report suspicious transactions and activities to the relevant authorities (Article 5). Failure to report suspicious transactions or activities or warning or informing ('tipping off') persons when information on them is being reported to the authorities carries a maximum penalty of imprisonment for up to 2 years and/or a fine up to BD50,000 (Article 3).
2. Reporting is to be done by completing all sections as fully as possible, attaching supporting documentation and continuation sheets where appropriate. The STR Form should be written in ink in BLOCK CAPITALS or typed. Online reporting is also available and those interested licensees should contact the Compliance Directorate of the CBB for further instructions.
3. When providing 'contact details' (refer to Sections 4-6 of the form), please include telephone and fax numbers, and e-mail addresses (where available).
4. In completing Section 7 of this form, Type of Transaction or Activity, please include as much detail as possible relating to the transaction/activity; amount; currency of transaction/activity; beneficiaries of the transaction/activity; and the type of financial instruments involved.
5. In completing Section 9 of this form, Customer Profile, please provide a summary of the activities related to this account/policy including average number of transactions, nature of products transacted, beneficiaries, etc.
6. The original completed form, together with supporting documentation, should be submitted to:

Anti-Money Laundering Unit
General Directorate of Criminal Investigation
Ministry of Interior
P.O. Box 26698
Manama, Kingdom of Bahrain

And a copy of the completed form should be submitted to:

The Director, Compliance Directorate
Central Bank of Bahrain
P.O. Box 27
Manama, Kingdom of Bahrain
7. CBB licensees may address any queries to the Director of the Compliance Directorate of the CBB, on +973 17 547107 (telephone), +973 17 535673 (fax) and compliance@cbb.gov.bh (e-mail).



1. Licensee Details

Name and address of Licensee

2. STR Form Contact Information

Please provide full contact details of person(s) with whom the AMLU/CBB can communicate with, regarding this STR Form.

Name:

Title:

Telephone:

Fax:

E-mail:

3. Is this form related to: (tick one box)

Attempted Transaction

Completed Transaction



Information on the party having attempted or completed a suspicious transaction or activity.

4. Legal Entity or Trust (Details)

Name and address of legal entity or trust	
Nature of businesses	
Commercial Registration Number	Country of Incorporation
Address and Contact Details in Bahrain	Address and Contact Details in Country of Incorporation

5. Individual Person (Details)

Date of Birth		Occupation	
Passport No.	CPR No.	Nationality	
Address and Contact Details in Bahrain	Employer's Name and Address and Contact Details		



6. Representative acting on behalf of party in 4. or 5. above (if applicable)

Name and address of representative

Contact Details:

Acting on behalf of party 4 or 5 in which capacity (e.g. lawyer, etc.)



7. Type of Transaction or Activity

Please provide details of the transaction or activity

When did the transaction/activity occur?

Where did the transaction/activity occur?

If the person concerned was questioned, what explanation did he provide? (N.B. 'tipping off' is a criminal offence: see Decree Law No. 4 of 2001, Article 2.6)

8. Source of Suspicion

Please provide details of the source of suspicion



9. Customer Profile

Existing Customer?

Yes No

If yes, since when (date) _____

Please provide details of the nature of the relationship between the licensee and the party for which this form is being completed

Name and Signature of MLRO or his delegate:

Date: